Gromov ellipticity in complex analytic geometry and algebraic geometry

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- Gromov ellipticity for complex manifolds
- Oka principle and Oka manifolds

Ellipticity in algebraic geometry

- Gromov ellipticity for smooth algebraic varieties
- Algebraic Oka theory

Ellipticity in algebraic geometry

What is ellipticity?

Definition (?)

Ellipticity is the opposite of hyperbolicity.

Ellipticity in algebraic geometry

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In complex analytic geometry,

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In complex analytic geometry,

Kobayashi–Eisenman–Brody hyperbolicity:

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- Kobayashi–Eisenman–Brody hyperbolicity:

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- potential theoretic hyperbolicity (⇔ non-Liouvilleness):
 ∃ nonconstant negative plurisubharmonic function
 - \implies Brody volume hyperbolicity

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Example

A Riemann surface Y is Kobayashi hyperbolic if and only if Y is universally covered by the unit disc \mathbb{D} .

Ellipticity in algebraic geometry

What is Gromov ellipticity?

In algebraic geometry,

In algebraic geometry,

(Demailly '97) Kobayashi hyperbolicity
 ⇒ Demailly's algebraic hyperbolicity:
 ∃ε > 0 ∀C ⊂ Y^{proj} : curve 2 genus(C) - 2 ≥ ε deg(C)
 ⇒ ∄ rational curve, elliptic curve ⊂ Y

In algebraic geometry,

- (Demailly '97) Kobayashi hyperbolicity
 - \implies Demailly's algebraic hyperbolicity:
 - $\exists \varepsilon > 0 \,\forall C \subset Y^{\text{proj}} : \textit{curve} \quad 2 \, \text{genus}(C) 2 \geq \varepsilon \, \text{deg}(C)$
 - \implies \nexists rational curve, elliptic curve \subset Y
- Mori hyperbolicity (Lu–Zhang '17):

 [‡] nonconstant morphism from the affine line A¹

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Example

Every elliptic curve is Mori hyperbolic.

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 \exists "many" dominating maps from affine spaces

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→ Ellipticity in these categories should mean:
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 ⇒: Gromov ellipticity

Gromov ellipticity for complex manifolds

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Ellipticity in complex analytic geometry

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Dominating sprays

Q. How many dominating maps from affine spaces do we need?

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Dominating sprays

 $\mathsf{Q}.$ How many dominating maps from affine spaces do we need?

A. Many enough to glue together to a dominating spray.

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Gromov ellipticity for complex manifolds

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Let X be a complex space and Y be a complex manifold.

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Dominating sprays

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Definition

Let X be a complex space and Y be a complex manifold.

A spray over a holomorphic map f : X → Y is a holomorphic map s : E → Y from a holomorphic vector bundle E over X such that s(0_x) = f(x) for each x ∈ X.

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- A family of sprays s_λ : E_λ → Y (λ ∈ Λ) over a holomorphic map f : X → Y is *dominating* if ∑_{λ∈Λ}(ds_λ)_{0_x}(T_{0_x}(E_λ)_x) = T_{f(x)}Y for each x ∈ X.

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Example

Y: complex homogeneous (: $\iff \exists G \frown Y$: holomorphic transitive) $s: Y \times T_{1_G}G \rightarrow Y$, $s(y, v) = \exp(v) \cdot y$: dominating spray /id_Y

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Gromov ellipticity for complex manifolds

Ellipticity conditions

Definition (Gromov '86, '89)

Let Y be a complex manifold.

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Gromov ellipticity for complex manifolds

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Remark

elliptic
$$\implies$$
 subelliptic \implies Ell $_1$ (\implies elliptic if Y is Stein)

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We will see later that Ell_1 does not imply subellipticity.

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Problem (open)

subelliptic \implies elliptic?

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Gromov ellipticity for complex manifolds

Examples of elliptic manifolds

Example

Every complex homogeneous manifold is elliptic.

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Gromov ellipticity for complex manifolds

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For a Riemann surface Y, the following are equivalent:

Y is elliptic.

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- Y is not Kobayashi hyperbolic.

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For a Riemann surface Y, the following are equivalent:

- Y is elliptic.
- Y is subelliptic.
- Y satisfies Ell₁.
- Y is not Kobayashi hyperbolic.
- **6** $Y \cong \mathbb{P}^1, \mathbb{C}, \mathbb{C}^*$ or elliptic curve.

Example (Lárusson '13, Lárusson-Truong '19, K. '21)

Every smooth toric variety is elliptic.

Oka principle and Oka manifolds

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Ellipticity in complex analytic geometry

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Oka principle and Oka manifolds

What is the Oka principle?

Gromov introduced ellipticity in the context of the Oka principle.

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Oka principle and Oka manifolds

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Gromov introduced ellipticity in the context of the Oka principle. Oka principle = homotopy principle in complex analysis

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Oka principle and Oka manifolds

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Oka principle

For a (reduced) Stein space X,

{analytic objects/X}
$$\xrightarrow{\text{forgetful}}$$
 {topological objects/X}

is a "weak equivalence."

Ellipticity in complex analytic geometry

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is a "weak equivalence."

Theorem (Oka '39, Grauert '57, '58)

For a Stein space X and $r \in \mathbb{N}$,

{hol. vec. bdl. of rank r/X} $\xrightarrow{\text{forgetful}}$ {top. vec. bdl. of rank r/X}

induces a bijection between the sets of isomorphism classes.

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Oka principle and Oka manifolds

Gromov's Oka principle

Theorem (Gromov '89, Forstnerič '02)

Let X be a Stein space, $Z \subset X$ be a closed complex subvariety and Y be a subelliptic manifold.

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$$\left\{ \tilde{f} \in \mathcal{O}(X,Y) : \tilde{f}|_{Z} = f \right\} \hookrightarrow \left\{ \tilde{f} \in \mathcal{C}(X,Y) : \tilde{f}|_{Z} = f \right\}$$

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They proved the parametric Oka principle also with approximation.

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- extension \implies approximation (Lárusson '05)
- approximation \implies extension (K. '20)

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The Oka principle for sections of *subelliptic submersions* also holds.

 \implies Grauert's Oka principle for isom. classes of vector bundles

Ellipticity in complex analytic geometry

Ellipticity in algebraic geometry

Oka principle and Oka manifolds

Forstnerič's Oka principle and Oka manifolds

Definition

 $\frac{Y \text{ enjoys the } Convex Approximation Property (CAP) if}{\mathcal{O}(\mathbb{C}^n, Y)|_{\mathcal{K}}} = \mathcal{O}(\mathcal{K}, Y) \text{ for any compact convex } \mathcal{K} \subset \mathbb{C}^n \ (n \in \mathbb{N}).$

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Theorem (Forstnerič's Oka principle '09)

Let X be a Stein space, $Z \subset X$ be a closed complex subvariety and Y be a complex manifold with CAP.

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Definition

Y is an *Oka manifold* if Y enjoys the above property (\iff CAP).

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Oka principle and Oka manifolds

Elliptic characterization of Oka manifolds

Gromov's Oka principle: subelliptic \implies Oka

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Theorem (Gromov's conjecture '86, K. '21)

 $\mathit{Oka} \iff \mathit{Ell}_1$

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Theorem (Gromov's conjecture '86, K. '21)

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Corollary (Localization theorem for Oka manifolds, K. '21)

Every Zariski locally Oka manifold is an Oka manifold.

Ellipticity in complex analytic geometry ${\scriptstyle \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc \bigcirc }$

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 \exists non-subelliptic Oka manifold (e.g. $\mathbb{C}^n \setminus \overline{\mathbb{B}^n}$ for $n \geq 3$)

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And ersén-Lempert theory plays a crucial role in the proof to construct a family of Fatou-Bieberbach domains around the graph of a holomorphic map f, which gives a dominating spray over f.

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Andersén–Lempert theory plays a crucial role in the proof to construct a family of Fatou–Bieberbach domains around the graph of a holomorphic map f, which gives a dominating spray over f. \rightsquigarrow Forstnerič–Wold: simpler proof ('20), $\mathbb{C}^n \setminus (\text{unbdd cvx set})$ ('23)

Ellipticity in algebraic geometry

Oka principle and Oka manifolds

Surjective holomorphic maps onto Oka manifolds

(Fornaess–Stout '77, '82) Every connected complex manifold Y admits surjective holomorphic maps from $\mathbb{D}^{\dim Y}$ and $\mathbb{B}^{\dim Y}$.

Oka principle and Oka manifolds

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Theorem (Forstnerič '17)

For any Stein space X and any connected Oka manifold Y with dim $Y \leq \dim X$, every continuous map $X \rightarrow Y$ is homotopic to a holomorphic map $f : X \rightarrow Y$ such that $f(X \setminus \text{Sing}(f)) = Y$ where $\text{Sing}(f) = \{x \in X : df_x(T_xX) \neq T_{f(x)}Y\}.$

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Does the converse hold? (Forstnerič '17)

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Remark

If there exists a surjective holomorphic map $\mathbb{C}^n \to Y$, for any Stein space X and 0-dimensional closed (possibly nonreduced) complex subspace $Z \subset X$ the restriction $\mathcal{O}(X, Y) \to \mathcal{O}(Z, Y)$ is surjective.

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Ellipticity in algebraic geometry

- Gromov ellipticity for smooth algebraic varieties
- Algebraic Oka theory

Algebraic dominating sprays and algebraic ellipticity

Algebraic dominating sprays and algebraic ellipticity conditions can be defined analogously by replacing analytic objects as follows:

- complex space ~ algebraic variety
- holomorphic map ~→ (algebraic) morphism
- holomorphic vector bundle \rightsquigarrow algebraic vector bundle
- Stein space \rightsquigarrow affine variety

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Remark

algebraically (sub)elliptic \implies analytically (sub)elliptic The converse does not hold. (e.g. abelian varieties)

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Remark

 $\mathsf{algebraic}\;\mathsf{Ell}_1\implies\mathsf{unirational}\;\mathsf{and}$

nondegenerate: $\nexists Y \to \mathbb{A}^1 \setminus \{0\}$: nonconst.

Ellipticity in algebraic geometry

Gromov ellipticity for smooth algebraic varieties

Equivalences between algebraic ellipticity conditions

Theorem (Gromov '89, Forstnerič '06, Lárusson–Truong '19, Kaliman–Zaidenberg '23)

For a smooth complex algebraic variety Y, TFAE:

Y is algebraically elliptic.

Ellipticity in algebraic geometry

Gromov ellipticity for smooth algebraic varieties

Equivalences between algebraic ellipticity conditions

Theorem (Gromov '89, Forstnerič '06, Lárusson–Truong '19, Kaliman–Zaidenberg '23)

For a smooth complex algebraic variety Y, TFAE:

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- **2** Y is algebraically subelliptic.

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 $\rightsquigarrow \mathsf{algebraic} \ \mathsf{Ell}_1 \implies \mathsf{analytic} \ \mathsf{Ell}_1$

Ellipticity in complex analytic geometry 000000000

Ellipticity in algebraic geometry

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Examples of algebraically elliptic varieties

Example

For an algebraic curve C, the following are equivalent:

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Example (Lárusson-Truong '19)

A smooth toric variety Y is alg. elliptic \iff Y is nondegenerate.

Algebraic Oka theory

Introduction

2 Ellipticity in complex analytic geometry

- Gromov ellipticity for complex manifolds
- Oka principle and Oka manifolds

3 Ellipticity in algebraic geometry

- Gromov ellipticity for smooth algebraic varieties
- Algebraic Oka theory

algebraic ellipticity \iff algebraic Oka property?

Theorem (Lárusson–Truong '19)

For any proper smooth complex algebraic variety Y there exist a smooth closed algebraic subvariety $Z \subset \mathbb{C}^2$ and a null-homotopic map $f \in \mathcal{O}_{alg}(Z, Y) \setminus \mathcal{O}_{alg}(\mathbb{C}^2, Y)|_Z$. In particular,

$$\left\{\tilde{f}\in\mathcal{O}_{\textit{alg}}(\mathbb{C}^2,Y):\tilde{f}|_{Z}=f\right\}\hookrightarrow\left\{\tilde{f}\in\mathcal{C}(\mathbb{C}^2,Y):\tilde{f}|_{Z}=f\right\}$$

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Another way is to replace the interval object [0,1] by \mathbb{A}^1 .

Algebraic Oka theory

Surjective morphisms onto algebraically elliptic varieties

Theorem (K. '22: arXiv:2212.06412)

For any algebraically elliptic (irreducible) variety Y, there exists a morphism $f : \mathbb{A}^{\dim Y+1} \to Y$ such that $f(\mathbb{A}^{\dim Y+1} \setminus \operatorname{Sing}(f)) = Y$.

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Q. How can we generalize this for $Z \subset X$ of arbitrary dimension?

Algebraic Oka theory

Conjectures

Ellipticity in complex analytic geometry

Ellipticity in algebraic geometry

Conjecture (\mathbb{A}^1 -Homotopy Extension Property)

Let X be an affine variety, Y be an algebraically elliptic variety, $f: X \to Y$ be a morphism, $Z \subset X$ be a closed algebraic subvariety and $H: Z \times \mathbb{A}^1 \to Y$ be a morphism such that $H(\cdot, 0) = f|_Z$.

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The algebraic interpolation theorem follows from this conjecture. $\mathcal{O}(X, Y) \hookrightarrow \mathcal{C}(X, Y)$ is a weak homotopy equiv. for any Stein X \iff Sing^[0,1] $\mathcal{O}(\cdot, Y)$: ∞ -sheaf / ∞ -Stein site (Lárusson '03, '04)

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For any algebraically elliptic variety Y, the ∞ -presheaf $\operatorname{Sing}^{\mathbb{A}^1}\mathcal{O}_{\operatorname{alg}}(\cdot, Y)$ is an ∞ -sheaf over the ∞ -site of affine varieties.

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The analytic versions of the above conjectures hold!

Thank you for your attention!