# Billiard arrays and finite-dimensional irreducible $U_q(\mathfrak{sl}_2)$ -modules



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Paul Terwilliger Billiard arrays and finite-dimensional irreducible  $U_q(\mathfrak{sl}_2)$ -mode

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In this talk we will describe the notion of a **Billiard Array**.

This is a triangular array of one-dimensional subspaces of a finite-dimensional vector space, subject to several conditions that specify which sums are direct.

We will use Billiard Arrays to characterize the finite-dimensional irreducible  $U_q(\mathfrak{sl}_2)$ -modules, for q not a root of unity.

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In order to motivate things, we recall the quantum algebra  $U_q(\mathfrak{sl}_2)$ .

We will use the **equitable presentation**.

Let  $\mathbb F$  denote a field. Fix a nonzero  $q\in\mathbb F$  that is not a root of unity.

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#### Definition

Let  $U_q(\mathfrak{sl}_2)$  denote the associative  $\mathbb F\text{-algebra}$  with generators  $x,y^{\pm 1},z$  and relations  $yy^{-1}=y^{-1}y=1,$ 

$$\begin{aligned} \frac{qxy - q^{-1}yx}{q - q^{-1}} &= 1, \\ \frac{qyz - q^{-1}zy}{q - q^{-1}} &= 1, \\ \frac{qzx - q^{-1}xz}{q - q^{-1}xz} &= 1. \end{aligned}$$

The  $x, y^{\pm 1}, z$  are called the **equitable generators** for  $U_q(\mathfrak{sl}_2)$ .

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The defining relations for  $U_q(\mathfrak{sl}_2)$  can be reformulated as follows:

$$egin{aligned} q(1-yz) &= q^{-1}(1-zy), \ q(1-zx) &= q^{-1}(1-xz), \ q(1-xy) &= q^{-1}(1-yx). \end{aligned}$$

Denote these common values by  $\nu_x, \nu_y, \nu_z$  respectively.

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The *x*, *y*, *z* are related to  $\nu_x$ ,  $\nu_y$ ,  $\nu_z$  as follows:

$$\begin{aligned} x\nu_y &= q^2\nu_y x, \qquad x\nu_z &= q^{-2}\nu_z x, \\ y\nu_z &= q^2\nu_z y, \qquad y\nu_x &= q^{-2}\nu_x y, \\ z\nu_x &= q^2\nu_x z, \qquad z\nu_y &= q^{-2}\nu_y z. \end{aligned}$$

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For the rest of this talk, fix an integer  $N \ge 1$ .

Let V denote an irreducible  $U_q(\mathfrak{sl}_2)$ -module, with dimension N+1.

The x, y, z act on V as follows.

Each of x, y, z is **diagonalizable** on V. Moreover there exists  $\varepsilon \in \{1, -1\}$  such that for each of x, y, z the eigenvalues on V are  $\{\varepsilon q^{N-2i}\}_{i=0}^{N}$ . This ordering and its inversion will be called **standard**.

The scalar  $\varepsilon$  is called the **type** of V.

Replacing x, y, z by  $\varepsilon x, \varepsilon y, \varepsilon z$  the type becomes 1.

From now on, assume that V has type 1.

The  $\nu_x, \nu_y, \nu_z$  act on V as follows.

Each of  $\nu_x, \nu_y, \nu_z$  is **nilpotent** on V.

Moreover, for  $\rho \in \{x, y, z\}$  the subspace  $\nu_{\rho}^{i}V$  has dimension N - i + 1 for  $0 \le i \le N$ , and  $\nu_{\rho}^{N+1}V = 0$ .

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In order to clarify how x, y, z and  $\nu_x, \nu_y, \nu_z$  act on V, we use the following concepts.

By a **decomposition** of V we mean a sequence  $\{V_i\}_{i=0}^N$  of one-dimensional subspaces of V whose direct sum is V.

#### Example

For each of x, y, z the sequence of eigenspaces (in standard order) is a decomposition of V, said to be **standard**.

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By a **flag** on V we mean a sequence  $\{U_i\}_{i=0}^N$  of subspaces for V such that  $U_{i-1} \subseteq U_i$  for  $1 \le i \le N$  and  $U_i$  has dimension i+1 for  $0 \le i \le N$ .

Example

Each of

$$\{\nu_x^{N-i}V\}_{i=0}^N, \qquad \{\nu_y^{N-i}V\}_{i=0}^N, \qquad \{\nu_z^{N-i}V\}_{i=0}^N$$

is a flag on V, said to be **standard**.

Given a decomposition  $\{V_i\}_{i=0}^N$  of V we construct a flag on V as follows.

Define  $U_i = V_0 + \cdots + V_i$  for  $0 \le i \le N$ . Then the sequence  $\{U_i\}_{i=0}^N$  is a flag on V.

This flag is said to be **induced** by the decomposition  $\{V_i\}_{i=0}^N$ .

Let  $\{U_i\}_{i=0}^N$  and  $\{U'_i\}_{i=0}^N$  denote flags on V.

These flags are called **opposite** whenever  $U_i \cap U'_j = 0$  if i + j < N  $(0 \le i, j \le N)$ .

The flags  $\{U_i\}_{i=0}^N$  and  $\{U'_i\}_{i=0}^N$  are opposite if and only if there exists a decomposition  $\{V_i\}_{i=0}^N$  of V that induces  $\{U_i\}_{i=0}^N$  and whose inversion  $\{V_{N-i}\}_{i=0}^N$  induces  $\{U'_i\}_{i=0}^N$ .

In this case  $V_i = U_i \cap U'_{N-i}$  for  $0 \le i \le N$ .

So we say that the decomposition  $\{V_i\}_{i=0}^N$  is **induced** by the opposite flags  $\{U_i\}_{i=0}^N$  and  $\{U'_i\}_{i=0}^N$ .

#### Theorem

For our  $U_q(\mathfrak{sl}_2)$ -module V, the three standard flags are mutually opposite.

The standard flags are related to the standard decompositions in the following way.

#### Theorem

For our  $U_q(\mathfrak{sl}_2)$ -module V,

- (i) each standard decomposition of V induces a standard flag on V;
- (ii) each ordered pair of distinct standard flags on V induces a standard decomposition of V.

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The above theorems suggest a problem in linear algebra.

Consider the three standard flags on our  $U_q(\mathfrak{sl}_2)$ -module V.

From these flags we can recover the standard decompositions of V, and from them the original  $U_q(\mathfrak{sl}_2)$ -module structure.

So these flags should be related in a special way, from a linear algebraic point of view.

The problem is to describe this relationship.

This is what we will do, for the rest of the talk.

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Recall the natural numbers  $\mathbb{N} = \{0, 1, 2, \dots\}.$ 

#### Definition

Let  $\Delta_N$  denote the set consisting of the three-tuples of natural numbers whose sum is N. Thus

$$\Delta_N = \{(r,s,t) \mid r,s,t \in \mathbb{N}, \ r+s+t=N\}.$$

### The set $\Delta_N$

We arrange the elements of  $\Delta_N$  in a triangular array.

For N = 4, the array looks as follows after deleting all punctuation:

040 130 031 220 121 022 310 211 112 013 400 301 202 103 004

An element in  $\Delta_N$  is called a **location**.

# 040 130 031 220 121 022 310 211 112 013 400 301 202 103 004

In the above array, each horizontal row consists of the locations with the same middle coordinate.

Call the horizontal rows 2-lines.

The 1-lines and 3-lines are similarly defined.

By a **line** we mean a 1-line or 2-line or 3-line.



In the above array, each interior location is adjacent to six other locations.

By a 3-clique we mean a set of three mutually adjacent locations.

There are two kinds of 3-cliques:  $\Delta$  (white) and  $\nabla$  (black).

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We now define a Billiard Array.

Let V denote a vector space over  $\mathbb{F}$  with dimension N + 1.

#### Definition

By a **Billiard Array on** V we mean a function B that assigns to each location  $\lambda \in \Delta_N$  a 1-dimensional subspace of V (denoted  $B_{\lambda}$ ) such that:

- (i) for each line L in  $\Delta_N$  the sum  $\sum_{\lambda \in L} B_{\lambda}$  is direct;
- (ii) for each white 3-clique C in  $\Delta_N$  the sum  $\sum_{\lambda\in C}B_\lambda$  is not direct.

We say that B is **over**  $\mathbb{F}$ . We call N the **diameter** of B.

Let B denote a Billiard Array on V.

It turns out that the function B is injective.

We view *B* as an arrangement of one-dimensional subspaces of *V* into a triangular array, with the subspace  $B_{\lambda}$  at location  $\lambda$  for all  $\lambda \in \Delta_N$ .

Thus the subspaces  $B_{\lambda}$  are the "billiards" in the array.

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Here is our plan for the rest of the talk:

- (i) Classify the Billiard Arrays up to isomorphism.
- (ii) Describe what the Billiard Arrays have to do with 3-tuples of mutually opposite flags.
- (iii) Use Billiard Arrays to explain what is special about the three standard flags for a finite-dimensional irreducible  $U_q(\mathfrak{sl}_2)$ -module of type 1.

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Our next goal is to classify the Billiard Arrays up to isomorphism.

#### Lemma

Let  $\lambda, \mu, \nu$  denote locations in  $\Delta_N$  that form a white 3-clique. Then the subspace  $B_{\lambda} + B_{\mu} + B_{\nu}$  is equal to each of

$$B_{\lambda} + B_{\mu}, \qquad B_{\mu} + B_{\nu}, \qquad B_{\nu} + B_{\lambda}.$$

This subspace has dimension 2.

#### Corollary

Let  $\lambda, \mu, \nu$  denote locations in  $\Delta_N$  that form a white 3-clique. Then each of  $B_{\lambda}, B_{\mu}, B_{\nu}$  is contained in the sum of the other two.

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Among the lines in  $\Delta_N$ , three are on the boundary.

# Lemma Let L denote a boundary line of $\Delta_N$ . Then $V = \sum_{\lambda \in L} B_\lambda$ (direct sum).

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Shortly we will classify the Billiard Arrays up to isomorphism.

To prepare for this, we explain what isomorphism means in this context.

#### Definition

Let V' denote a vector space over  $\mathbb{F}$  with dimension N + 1. Let B' denote a Billiard Array on V'. By an **isomorphism of Billiard Arrays from** B **to** B' we mean an  $\mathbb{F}$ -linear bijection  $V \to V'$  that sends  $B_{\lambda} \mapsto B'_{\lambda}$  for all  $\lambda \in \Delta_N$ . The Billiard Arrays B and B' are called **isomorphic** whenever there exists an isomorphism of Billiard Arrays from B to B'.

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We now describe the notion of an affine brace.

#### Definition

Let  $\lambda, \mu, \nu$  denote locations in  $\Delta_N$  that form a white 3-clique. By an **affine brace** (or **abrace**) for this clique, we mean a set of vectors

$$u \in B_{\lambda}, \qquad v \in B_{\mu}, \qquad w \in B_{\nu}$$

that are not all zero, and u + v + w = 0. (In fact each of u, v, w is nonzero).

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Here is an example of an abrace.

#### Example

Let  $\lambda, \mu, \nu$  denote locations in  $\Delta_N$  that form a white 3-clique. Pick any nonzero vectors

$$u \in B_{\lambda}, \quad v \in B_{\mu}, \quad w \in B_{\nu}.$$

The vectors u, v, w are linearly dependent. So there exist scalars a, b, c in  $\mathbb{F}$ , not all zero, such that au + bv + cw = 0. The vectors au, bv, cw form an abrace for the clique.

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Affine braces have the following property.

#### Lemma

Let  $\lambda, \mu, \nu$  denote locations in  $\Delta_N$  that form a white 3-clique. Then each nonzero vector in  $B_{\lambda}$  is contained in a unique abrace for this clique.

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We have been discussing affine braces.

We now consider a variation on this concept, called a brace.

#### Definition

Let  $\lambda, \mu$  denote adjacent locations in  $\Delta_N$ . Note that there exists a unique location  $\nu \in \Delta_N$  such that  $\lambda, \mu, \nu$  form a white 3-clique. We call  $\nu$  the **completion** of the pair  $\lambda, \mu$ .

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#### Definition

Let  $\lambda, \mu$  denote adjacent locations in  $\Delta_N$ . By a **brace** for  $\lambda, \mu$  we mean a set of nonzero vectors

$$u \in B_{\lambda}, \quad v \in B_{\mu}$$

such that  $u + v \in B_{\nu}$ . Here  $\nu$  denotes the completion of  $\lambda, \mu$ .

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Braces have the following property.

#### Lemma

Let  $\lambda, \mu$  denote adjacent locations in  $\Delta_N$ . Each nonzero vector in  $B_{\lambda}$  is contained in a unique brace for  $\lambda, \mu$ .

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We now define some maps  $\tilde{B}_{\lambda,\mu}$ .

#### Definition

Let  $\lambda, \mu$  denote adjacent locations in  $\Delta_N$ . We define an  $\mathbb{F}$ -linear map  $\tilde{B}_{\lambda,\mu}: B_{\lambda} \to B_{\mu}$  as follows. This map sends each nonzero  $u \in B_{\lambda}$  to the unique  $v \in B_{\mu}$  such that u, v is a brace for  $\lambda, \mu$ .

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Let  $\lambda, \mu$  denote adjacent locations in  $\Delta_N$ .

We just defined an  $\mathbb{F}$ -linear map  $\tilde{B}_{\lambda,\mu}: B_{\lambda} \to B_{\mu}$ .

We now consider what happens when we compose the maps of this kind.

#### Lemma

Let  $\lambda, \mu$  denote adjacent locations in  $\Delta_N$ . Then the maps  $\tilde{B}_{\lambda,\mu}: B_{\lambda} \to B_{\mu}$  and  $\tilde{B}_{\mu,\lambda}: B_{\mu} \to B_{\lambda}$  are inverses.

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#### Lemma

Let  $\lambda, \mu, \nu$  denote locations in  $\Delta_N$  that form a white 3-clique. Then the composition around the clique:

$$B_{\lambda} \xrightarrow[\tilde{B}_{\lambda,\mu}]{} B_{\mu} \xrightarrow[\tilde{B}_{\mu,\nu}]{} B_{\nu} \xrightarrow[\tilde{B}_{\nu,\lambda}]{} B_{\nu}$$

is equal to the identity map on  $B_{\lambda}$ .

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#### Definition

Let  $\lambda, \mu, \nu$  denote locations in  $\Delta_N$  that form a black 3-clique. Then the composition around the clique:

$$B_{\lambda} \xrightarrow{\widetilde{B}_{\lambda,\mu}} B_{\mu} \xrightarrow{\widetilde{B}_{\mu,\nu}} B_{\nu} \xrightarrow{\widetilde{B}_{\nu,\lambda}} B_{\nu}$$

is a nonzero scalar multiple of the identity map on  $B_{\lambda}$ . The scalar is called the **clockwise** *B*-value (resp. **c.clockwise** *B*-value) of the clique whenever the sequence  $\lambda, \mu, \nu$  runs clockwise (resp. c.clockwise) around the clique.

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#### Lemma

For each black 3-clique in  $\Delta_N$ , its clockwise B-value and c.clockwise B-value are reciprocals.

#### Definition

For each black 3-clique in  $\Delta_N$ , by its B-value we mean the clockwise B-value.

We have now assigned a nonzero scalar value to each black 3-clique in  $\Delta_N$ .

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We define a function  $\hat{B}$  on the set of black 3-cliques in  $\Delta_N$ . The function  $\hat{B}$  sends each black 3-clique to its *B*-value. We call  $\hat{B}$  the **value function** for *B*.

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It is convenient to view  $\hat{B}$  as a function on  $\Delta_{N-2}$ , as follows.

Pick  $(r, s, t) \in \Delta_{N-2}$ . Observe that the locations

(r, s+1, t+1), (r+1, s, t+1), (r+1, s+1, t)

are in  $\Delta_N$  and form a black 3-clique.

The *B*-value of this 3-clique is equal to the image of (r, s, t) under  $\hat{B}$ .

We just defined the value function of a Billiard Array.

We will use these value functions to classify the Billiard Arrays up to isomorphism.

# Definition By a **value function** on $\Delta_N$ we mean a function $\psi : \Delta_N \to \mathbb{F} \setminus \{0\}.$

We now classify the Billiard Arrays up to isomorphism.

Recall the Billiard Array *B* and its value function  $\hat{B}$ .

#### Theorem

The map  $B \mapsto \hat{B}$  induces a bijection between the following two sets:

- (i) the isomorphism classes of Billiard Arrays over  $\mathbb F$  that have diameter N;
- (ii) the value functions on  $\Delta_{N-2}$ .

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Our next goal is to describe what Billiard arrays have to do with 3-tuples of mutually opposite flags.

Until further notice let V denote a vector space over  $\mathbb{F}$  with dimension N + 1.

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#### Definition

Suppose we are given three flags on V, denoted  $\{U_i\}_{i=0}^N$ ,  $\{U'_i\}_{i=0}^N$ ,  $\{U''_i\}_{i=0}^N$ . These flags are said to be **totally opposite** whenever  $U_{N-r} \cap U'_{N-s} \cap U''_{N-t} = 0$  for all integers r, s, t  $(0 \le r, s, t \le N)$  such that r + s + t > N.

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Given three flags on V, the totally opposite condition is somewhat stronger than the mutually opposite condition.

This is explained on the next slide.

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#### Lemma

Given three flags on V, denoted  $\{U_i\}_{i=0}^N$ ,  $\{U'_i\}_{i=0}^N$ ,  $\{U''_i\}_{i=0}^N$ . Then the following are equivalent:

- (i) the flags  $\{U_i\}_{i=0}^N$ ,  $\{U'_i\}_{i=0}^N$ ,  $\{U''_i\}_{i=0}^N$  are totally opposite;
- (ii) for  $0 \le n \le N$  the sequences

$$\{U_i\}_{i=0}^{N-n}, \qquad \{U_{N-n} \cap U'_{n+i}\}_{i=0}^{N-n}, \qquad \{U_{N-n} \cap U''_{n+i}\}_{i=0}^{N-n}$$

are mutually opposite flags on  $U_{N-n}$ .

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We are going to show that the Billiard Arrays on V are in bijection with the 3-tuples of totally opposite flags on V.

To get started, we show how to get a Billiard Array on V from a 3-tuple of totally opposite flags on V.

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#### Lemma

Suppose we are given three totally opposite flags on V, denoted  $\{U_i\}_{i=0}^N$ ,  $\{U'_i\}_{i=0}^N$ ,  $\{U''_i\}_{i=0}^N$ . For each location  $\lambda = (r, s, t)$  in  $\Delta_N$  define

$$B_{\lambda}=U_{N-r}\cap U_{N-s}'\cap U_{N-t}''.$$

Then the function B on  $\Delta_N$  that sends  $\lambda \mapsto B_\lambda$  is a Billiard Array on V.

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Consider the following two sets:

- (i) the 3-tuples of totally opposite flags on V;
- (ii) the Billiard Arrays on V.

In the previous lemma we described a function from (i) to (ii).

#### Theorem

The above function is a bijection.

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Our next goal is to use Billiard Arrays to explain what is special about the three standard flags for a finite-dimensional irreducible  $U_q(\mathfrak{sl}_2)$ -module of type 1.

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#### Theorem

Let V denote a finite-dimensional irreducible  $U_q(\mathfrak{sl}_2)$ -module, with type 1 and dimension  $\geq 2$ . Then:

(i) the three standard flags on V are totally opposite;

(ii) for the corresponding Billiard Array on V, the value of each black 3-clique is a constant  $q^2$ .

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# Summary

In this talk, we first considered a finite-dimensional irreducible  $U_q(\mathfrak{sl}_2)$ -module V of type 1.

We defined three flags on V, called the standard flags.

We then introduced the notion of a Billiard Array on a vector space V.

We classified the Billiard Arrays up to isomorphism, using the notion of a value function.

We showed how the Billiard Arrays on V are in bijection with the 3-tuples of totally opposite flags on V.

We showed that for the above  $U_q(\mathfrak{sl}_2)$ -module V, the three standard flags are totally opposite, and for the corresponding Billiard Array the value function is constant, taking the value  $q^2$ .

Thank you for your attention!

THE END

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